

<b>RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS OF APPLICATION</b>		Docket No.: ABB10010P02230US
Applicant(s):	Lubisch et al.	Confirmation No.: 4521
Serial No.:	10/582,285	Filing Date: June 9, 2006
Group Art Unit:		Examiner: Brabara A. Campbell
Invention: Keto Lactam Compounds and Use Thereof		

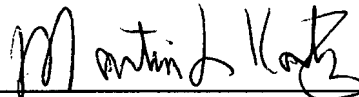
Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith in response to the Formalities Letter dated March 19, 2007 are:

- ☒ Executed declaration or oath for this application.
- ☒ Return copy of the Notice to File Missing Requirements of Application.
- ☐ Other: \_\_\_\_\_
- ☒ A check in the amount of \$130.00 to cover the filing fee and/or surcharge.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 23-0785.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §1.15-§1.17, or credit any overpayment, to Deposit Account No. 23-0785. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Martin L. Katz, Reg. No. 25,011  
WOOD, PHILLIPS, KATZ, CLARK & MORTIMER  
Citigroup Center, Suite 3800  
500 West Madison Street  
Chicago, Illinois 60661  
312/876-1800


Date: April 5, 2007

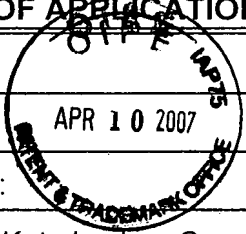
04/11/2007 GFREY1 00000112 10582285

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130.00 OP

I hereby certify that this document and fee (if applicable) is being deposited with the United States Postal Service with sufficient postage at First Class Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **April 5, 2007**.

  
Rachel Burke

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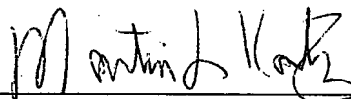
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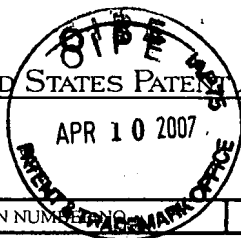
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Rachel Burke



UNITED STATES PATENT AND TRADEMARK OFFICE



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 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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 www.uspto.gov

U.S. APPLICATION NUMBER

10/582,285

FIRST NAMED APPLICANT

Wilfried Lubisch

ATTY. DOCKET NO.

ABB10010P02230US

INTERNATIONAL APPLICATION NO.

PCT/EP04/14118

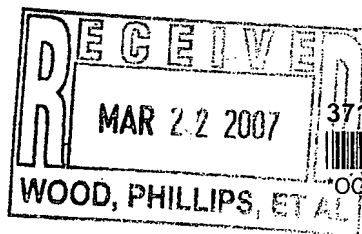
I.A. FILING DATE

12/10/2004

PRIORITY DATE

12/11/2003

32116  
 WOOD, PHILLIPS, KATZ, CLARK & MORTIMER  
 500 W. MADISON STREET  
 SUITE 3800  
 CHICAGO, IL 60661



CONFIRMATION NO. 2848

371 FORMALITIES LETTER



\*OC000000022949931\*

Date Mailed: 03/19/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/09/2006
- English Translation of the IA filed on 06/09/2006
- Copy of the International Search Report filed on 06/09/2006
- Preliminary Amendments filed on 06/09/2006
- Information Disclosure Statements filed on 08/28/2006
- Oath or Declaration filed on 06/09/2006
- Request for Immediate Examination filed on 06/09/2006
- U.S. Basic National Fees filed on 06/09/2006
- Priority Documents filed on 06/09/2006
- Non-English Language Application filed on 06/09/2006
- Specification filed on 06/09/2006
- Claims filed on 06/09/2006
- Abstracts filed on 06/09/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebs>.

- If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/582,285	PCT/EP04/14118	ABB10010P02230US